



SECTOR 10  
#3

Docket No.: SONY-50N3575

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Commissioner of Patents and Trademarks, Box AF, Washington, D.C., 20231, on the below date of deposit.			
Date of Deposit:	12/08/99	Name of Person Making the Deposit:	DESIREE ORTIZ
		Signature of the Person Making the Deposit:	<i>Desiree Ortiz</i>

Inventor(s): Hua Chen, Ikuo Tsukagoshi and Milan Mehta

Serial No.: 09/422,134

Group Art Unit: 2747

Filed: 10/20/99

Examiner:

Batch No:

Title: DIGITAL AUDIO DECODER HAVING ERROR CONCEALMENT USING A DYNAMIC RECOVERY DELAY AND FRAME REPEATING AND ALSO HAVING FAST AUDIO MUTING CAPABILITIES

Assistant Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed 11/17/99,  
please find enclosed:

- ☒ [X] a duly executed Declaration and Power of Attorney signed by the inventor(s) and the surcharge of \$130.00 as set fourth in 37 C.F.R. § 1.16(e)
- ☐ [ ] a Declaration Claiming Small Entity Status, and a Request for Refund
- ☐ [ ] a Petition for an Extension of Time; and fee of \$110.00
- ☐ [ ] a verified English translation of the application, and the \$130.00 fee as set fourth in 37 C.F.R. § 1.17(k);
- ☐ [ ] an Assignment document, Form PTO-1595, and the \$40.00 Assignment Recording Fee;
- ☐ [ ] Revocation & New Power of Attorney by Assignee of Entire Interest
- ☒ [X] Form PTO-1533 (copy of Notice to be returned with response);
- ☐ [ ] Basic Filing Fee
- ☐ [ ] Other: \_\_\_\_\_

#3

**PAYMENT OF FEES**

1. The full fee due in connection with this communication is provided as follows:
  - ☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085.  
A duplicate copy of this authorization is enclosed.
  - ☒ A check in the amount of \$130.00
  - ☐ Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

Please direct all correspondence concerning the above-identified application to the following address:

**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor.  
San Jose, California 95113  
(408) 938-9060

Respectfully submitted,

Date: December 8, 1999

By: 

Anthony C. Murabito  
Reg. No. 35,295



# UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

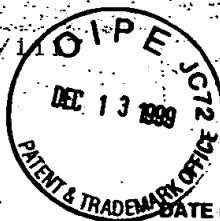
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/422,134 10/20/99 CHEN

H SONY-50N3575

WAGNER MURABITO & HAO-LLP  
TWO NORTH MARKET STREET  
THIRD FLOOR  
SAN JOSE CA 95113

0232/11



NOT ASSIGNED

2747

DATE MAILED:

11/17/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION

#### Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 130

☐ 1. The statutory basic filing fee is:

- ☐ missing  
☐ insufficient

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

M. N. Noy  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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